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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/698,343	10/31/2003	Manfred R. Koller	ONCOSIS.005A	3787
20995	7590 05/13/2005		EXAMINER	
KNOBBE 1	MARTENS OLSON	FERNANDEZ, SUSAN EMILY		
FOURTEEN			ART UNIT	PAPER NUMBER
IRVINE, CA	A 92614		1651	

DATE MAILED: 05/13/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	10/698,343	KOLLER ET AL.				
Office Action Summary	Examiner	Art Unit				
	Susan E. Fernandez	1651				
The MAILING DATE of this communication app						
Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM						
 THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). 						
Status						
1) Responsive to communication(s) filed on 22 A	oril 2005.					
	action is non-final.					
· <u> </u>	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under E	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4) Claim(s) <u>1-44</u> is/are pending in the application.						
4a) Of the above claim(s) <u>35-44</u> is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-34</u> is/are rejected.						
7)⊠ Claim(s) <u>15-20</u> is/are objected to.						
8) Claim(s) are subject to restriction and/o	Claim(s) are subject to restriction and/or election requirement.					
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	ite				
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date <u>8/27/04</u> .	5) Notice of Informal P 6) Other:	atent Application (PTO-152)				

DETAILED ACTION

Claims 1-44 are pending.

Election/Restrictions

Applicant's election with traverse of the group I invention, claims 1-34, in the reply filed on April 22, 2005, is acknowledged. The traversal is on the ground(s) that a search required for groups I and II is sufficiently related so as to result in minimal burden on the office. This is not found persuasive because, as pointed out in the restriction requirement, the method of transiently permeabilizing one or more cells may be practiced by another materially different apparatus. For instance, the methods of group I may be accomplished with apparatuses which transiently permeabilizes more than one cell, unlike the apparatus of group II, and does not necessarily require a device for directing the electromagnetic radiation to substantially the entirety of the sample volume, or that the electromagnetic radiation has an energy density of at most about 6 $\mu J/\mu m^2$. Moreover, the apparatus of group II may be used for other purposes, as stated in the restriction requirement. Since a search of the two inventive groups is not co-extensive, the search would indeed be burdensome.

The requirement is still deemed proper and is therefore made FINAL.

Claims 35-44 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to nonelected inventions, there being no allowable generic or linking claim.

Applicant timely traversed the restriction (election) requirement in the reply filed on April 22, 2005.

Claims 1-34 are examined on the merits to the extent they read on the elected subject matter.

Claim Objections

Claims 15-20 are objected to because of the following informalities: Claims 15, 17, and 19 comprise of the phrase "further wherein", which is grammatically improper. Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-34 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 is rendered indefinite by the terms "substantially" and "effective". Claims 4 and 5 are also rendered indefinite by the term "effective". It is not clear what would constitute a "substantially stationary position". Additionally, it is not clear what makes the "distance" effective, or how the "distance" is effective. Moreover, the phrase "without prior knowledge of the specific three-dimensional location of said one or more cells", is confusing. It is unclear how one would practice the process as claimed without knowing the location of one or more cells. To a certain degree, the position of any vessel containing the cells should be known to ensure that there even exists a probability that one or more cells is/are coincident with the path of

electromagnetic radiation. Additionally, it is not clear whom should be without prior knowledge of the location. Thus, claims 1-34 are rejected under 35 U.S.C. 112, second paragraph.

Claims 8, 9, 23, 24, and 26 are confusing because each consist of the phrase, "wherein said directing comprises..." It is not clear how the process of "directing" radiation can comprise of delivering a pulse or pulses of radiation or passing a beam of radiation across a surface. Thus, claims 8, 9, 23, 24, and 26-29 are rejected under 35 U.S.C. 112, second paragraph.

The phrase, "modified nucleic acid", renders claims 16 and 18 indefinite. It is not clear what modifications are appropriate in order for a nucleic acid to be considered "modified".

Thus, claim 16 and 18 are rejected under 35 U.S.C. 112, second paragraph.

Claims 19 and 20 are indefinite because of the phrase, "substantially non-permeabilized state". This phrase is confusing since it is unclear to what degree the membrane is in the "non-permeabilized state" as required by the term "substantially". Thus, claims 19 and 20 are rejected under 35 U.S.C. 112, second paragraph.

Claims 26 and 29 are rendered indefinite by the phrase "pulse target pattern". It is not clear what "pulse target pattern" defines. Thus, claims 26-29 are rejected under 35 U.S.C. 112, second paragraph.

Claim 32 is indefinite because of the phrase "substantially the entirety of said defined area". The term "substantially" does not clearly define the portion of the "entirety of said defined area". Furthermore, the claim recites "said defined area" without antecedent basis, as parent claim 1 does not recite a "defined area". Thus, claim 32 is rejected under 35 U.S.C. 112, second paragraph.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 6-9, 14, 17-20, 23, 25-29, and 32 are rejected under 35 U.S.C. 102(b) as being anticipated by Kasuya et al. (US 5,013,660).

Kasuya et al. discloses apparatuses and a method for transiently permeabilizing cells by the application of a laser beam, where the source may be a pulsed laser or a continuous wave laser. In particular, see claims 1-4, 6, and 7. Figure 4 offers one embodiment of an appropriate apparatus for membrane permeabilization (column 5, lines 7-66). The given apparatus comprises of a laser source and a sample holder containing the "cells floating in a solution" (column 5, line 29). Moreover, since the sample is contained in the sample holder maintained on a stage (14 on Figure 4), the cells are also considered to be in a substantially stationary position within a certain distance from a solid surface, which is either the top or bottom of the sample holder

Prior to permeabilization, the only information obtained is the planar distribution of the cells without any specifics in terms of coordinates (column 5, lines 32-37). Therefore, there is no prior knowledge of the specific three-dimensional location of the cells. The laser beam may be directed "across a predetermined area of the suspension" (claim 4), and this would be accomplished with the various components of the apparatus (column 5, lines 10-48), or by moving the sample holder may be moved (column 5, lines 62-66). Laser beam pulses are

delivered to the sample holder, thus to the solid surface of the top or bottom of the sample holder. Furthermore, the beam passes in a path pattern since the beam is swept across the solid surface in order to strike most or all of the "predetermined area of the suspension" or the "cell-floating area" (column 5, line 64). The beam pulse targets a "defined area" which could be considered either the entirety or a portion of the "cell-floating area". Moreover, the "cell-floating area" can also be considered the entire area of the bottom of the sample holder.

Example 1 offers specific parameter values, including a laser beam pulse duration of 10 nanoseconds (column 4, line 32), and a pulse frequency of 10 Hz (column 4, line 34). Thus, the electromagnetic radiation is directed at the cells for a period of at most on the order of 10 nanoseconds, 100 nanoseconds, 1 microsecond, 10 microseconds, 100 microseconds, 1 millisecond, 10 milliseconds, 100 milliseconds, 1 second, 10 seconds, 100 seconds, or 1000 seconds. Moreover, the pulse frequency is at least 1 or 10 Hz. Additionally, Example 1 specifies that a sample of cells can be in a medium comprising DMEM added with 10% unborn calf's blood serum (column 4, lines 25-26), which is considered a non-isotonic aqueous medium.

The method allows for the entry of foreign substances into the permeabilized cells, where the foreign substances are introduced by means of the liquid medium. The foreign substance may be "DNA, protein or any other biopolymer" (column 2, lines 19-20), thus it may be an organic molecule, a peptide, a protein, a nucleic acid, or a modified nucleic acid. Furthermore, after entry due to transient permeabilization, the cells return to their previous state within a few seconds (column 5, lines 57-61). Therefore, the transiently permeabilized membrane recovers to its previous state within a period of time consisting of at most about 3 seconds, 10 seconds, 30

seconds, 1 minute, 2 minutes, 3 minutes, 6 minutes, 10 minutes, 20 minutes, or 30 minutes. A holding of anticipation is clearly required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-3, 6-14, 17-20, 23, and 25-34 rejected under 35 U.S.C. 103(a) as being unpatentable over Kasuya et al. in view of Koller et al. (US 2002/0076744).

Kasuya et al. discloses apparatuses and a method for transiently permeabilizing cells by the application of a laser beam, where the source may be a pulsed laser or a continuous wave laser. In particular, see claims 1-4, 6, and 7. Figure 4 offers one embodiment of an appropriate apparatus for membrane permeabilization (column 5, lines 7-66). The given apparatus comprises

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of a laser source and a sample holder containing the "cells floating in a solution" (column 5, line 29). Moreover, since the sample is contained in the sample holder held on a stage (14 on Figure 4), the cells are also considered to be in a substantially stationary position within a certain distance from a solid surface, which is either the top or bottom of the sample holder.

Prior to permeabilization, the only information obtained is the planar distribution of the cells without any specifics in terms of coordinates (column 5, lines 32-37). Therefore, there is no prior knowledge of the specific three-dimensional location of the cells. The laser beam may be directed "across a predetermined area of the suspension" (claim 4), and this would be accomplished with the various components of the apparatus (column 5, lines 10-48), or by moving the sample holder (column 5, lines 62-66). Laser beam pulses are delivered to the sample holder, thus to the solid surface of the top or bottom of the sample holder. Furthermore, the beam passes in a path pattern since the beam is swept across the solid surface in order to strike most or all of the "predetermined area of the suspension" or the "cell-floating area" (column 5, line 64). The beam pulse targets a "defined area" which could be considered either the entirety or a portion of the "cell-floating area". Moreover, the "cell-floating area" can be considered the entire area of the bottom of the sample holder.

Example 1 offers specific parameter values, including laser beam pulse duration of 10 nanoseconds (column 4, line 32), and a pulse frequency of 10 Hz (column 4, line 34). Thus, the electromagnetic radiation is directed at the cells for a period of at most on the order of 10 nanoseconds, 100 nanoseconds, 1 microsecond, 10 microseconds, 100 microseconds, 1 millisecond, 10 milliseconds, 100 milliseconds, 1 second, 10 seconds, 100 seconds, or 1000 seconds. Moreover, the pulse frequency is at least 1 or 10 Hz. Additionally, Example 1

specifies that a sample of cells can be in a medium comprising DMEM added with 10% unborn calf's blood serum (column 4, lines 25-26), which is considered a non-isotonic aqueous medium.

The method allows for the entry of foreign substances into the permeabilized cells, where the foreign substances are introduced by means of the liquid medium. The foreign substance may be "DNA, protein or any other biopolymer" (column 2, lines 19-20), thus it may be an organic molecule, a peptide, a protein, a nucleic acid, or a modified nucleic acid. Furthermore, after entry due to transient permeabilization, the cells return to their previous state within a few seconds (column 5, lines 57-61). Therefore, the transiently permeabilized membrane recovers to its previous state within a period of time consisting of at most about 3 seconds, 10 seconds, 30 seconds, 1 minute, 2 minutes, 3 minutes, 6 minutes, 10 minutes, 20 minutes, or 30 minutes.

Kasuya et al. does not expressly disclose the laser beam energy density, rate of permeabilization, cell viability following transient permeabilization, certain substances recited in claim 18, the area of the "defined area", or the path width of the laser beam.

100,000,000, or 240,000,000 cells per second. Additionally, the lower limits of the probability of viability of viability of irradiated cells are disclosed, where no upper limits are offered (page 8, paragraph [0084]). Koller et al. thus teaches a probability of viability of at least 50%, 60%, 70%, 80%, 90%, 95%, 96%, 97%, 98%, or 99%. Claims 23-25 recite areas of the frame comprising the cell population, which can be considered the "defined area". At a minimum, the area is at least 0.5 cm², thus the "defined area" has an area of at least 1, 3, 10, 30, 100, 200, 300, or 400 cm². With respect to the path width of the energy beam, various diameters are listed on page 9, paragraph [0091], all of which are lower limits. Therefore, the path width is at least 10, 12, 14, 16, 18, 20, 25, 30, 35, 40, 50, 60, 70, 80, 90, 100, 300, 1x10³, 2x10³, 3x10³, 4x10³, 5x10³, 6x10³, 7x10³, 8x10³, 9x10³, or 1x10⁴ micrometers. Finally, the foreign material that may enter the permeabilized cell include nucleic acids, polypeptides, polysaccharides, lipids, dextran (colloid), and small molecules: Since small molecules are included, ions and inorganic molecules must also be able to enter the cell.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have used the laser beam energy densities, permeabilization rates, foreign material, areas of the "defined area", and laser beam path widths disclosed in Koller et al. when practicing the Kasuya invention. Furthermore, it would have been obvious to have expected the same probabilities of cell viability following permeabilization as determined by Koller et al.

One of ordinary skill in the art would have been motivated to do this because the parameters used by Koller et al. yielded high cell viability following irradiation with an energy beam. Furthermore, there would have been a reasonable expectation of success in obtaining the same range in cell viability by practicing the Kasuya by including features disclosed by Koller et

al. Additionally, the selection of suitable laser beam energy densities, permeabilization rates, areas of the "defined area", and laser beam path widths would have been a routine matter of optimizing result-effective parameters at the time of the invention. Thus, a holding of obviousness is clearly required.

Claims 1-3, 6-14, and 17-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kasuya et al. and Koller et al. as applied to claims 1-3, 6-14, 17-20, 23, and 25-34 above, and further in view of Marchitto et al. (US 6,315,772).

As discussed above, Kasuya et al. and Koller et al. render claims 1-3, 6-14, 17-20, 23, and 25-34 obvious.

These references do not expressly disclose all the radiation durations recited in the claims, all membrane recovery times recited in the claims, radiation rates in terms of area per time, all pulse frequencies recited in the claims, and all energy sources recited in claim 25.

Marchitto et al. discloses a method wherein the surface of target skin tissue may be altered by irradiating the tissue with laser pulses, thus allowing for delivery of pharmaceuticals into the tissue cells (column 2, lines 42-52). Flashlamps (column 19, lines 24-25) and incandescent lights (column 19, lines 35-46), which are continuous lamps, may be used as sources of the electromagnetic energy for irradiating skin tissue. The laser pulse duration may be between 1 femtosecond to 1,000 microseconds (1 millisecond). Marchitto et al. discloses that the laser beam creates a "beam diameter at the skin in the range of 0.5 microns-5.0 cm" (column 7, lines 39-41). Using the formula of the area of a circle (π r²), if the beam diameter is 5.0 cm, the area of the beam irradiated on the skin is about 20 cm². If the pulse duration is 1 millisecond,

the rate of radiation would be 0.020 cm²/s, while the rate of radiation would be 2x10¹⁵ cm²/s if the pulse duration is 1 femtosecond. Thus, the electromagnetic radiation directed to an area of the tissue is at a rate of at least 0.0001, 0.0003, 0.001, 0.003, 0.01, 0.03, 0.1, 0.3, 1, 3, 10, 30, 100, 200, 300, or 400 cm²/s. Additionally, the pulse frequency may be in the range of 5x10⁶ Hz to 3x10⁷ Hz (column 12, lines 35-36), and may even be as high as 4 GHz (4x10⁹ Hz) (column 12, lines 2-4). Therefore, the pulse frequency is at least 1, 10, 100, 10³, 10⁴, 10⁵, 10⁶, 10⁷ Hz, 10⁸ Hz, or 10⁹ Hz.

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have used the radiation durations, radiation rates in terms of area per time, pulse frequencies, and energy sources disclosed by Marchitto et al. when practicing the invention rendered obvious by Kasuya et al. and Koller et al. Furthermore, it would have been obvious to have optimized those parameter values and to have expected varying membrane recovery times.

One of ordinary skill in the art would have been motivated to do this since the Marchitto invention accomplished the same goal (delivery of compounds to cells through transient permeabilization of membranes) as was accomplished by the Kasuya and Koller inventions. There would have been a reasonable expectation of success in successfully permeabilizing membranes and delivering foreign material into the cells. Moreover, the selection of suitable radiation durations, radiation rates in terms of area per time, and pulse frequencies would have been a routine matter of optimizing result-effective parameters at the time of the invention. Finally, one would have expected a wide range of membrane recovery times following permeabilization since it would have been affected by equipment parameters (such as pulse duration). Thus, a holding of obviousness is clearly required.

Claims 1-14, and 17-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kasuya et al., Koller et al., and Marchitto et al. as applied to claims 1-3, 6-14, and 17-34 above, and further in view of Soughayer et al. (Anal. Chem., 2000, 72: 1342-1347).

As discussed above, Kasuya et al., Koller et al., and Marchitto et al. render claims 1-3, 6-14, and 17-34 obvious.

These references do not expressly disclose effective distances recited in claims 4 and 5.

Soughayer et al. discloses the optoporation of cells, where cells are cultured in chambers comprising a cover slip, and the laser pulses are directed to the cover slips. Experiments were conducted for the delivery of a fluorophore into the cells. Soughayer et al. points out that "when the distance between the cells of interest and the laser beam is optimized, optoporation can be performed with good loading efficiency and high cellular survival rates" (page 1345, second column, second paragraph).

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have varied the depth of the cell culture medium, which is the "effective distance".

One of ordinary skill in the art would have been motivated to do this because Soughayer et al. indicates that it would have optimized loading efficiency and cellular survival rates. The selection of suitable "effective distances" would have been a routine matter of optimizing result-effective parameters at the time of the invention. Thus, a holding of obviousness is clearly required.

Claims 1-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kasuya et al., Koller et al., Marchitto et al., and Soughayer et al. as applied to claims 1-14, and 17-34 above, and further in view of Flock et al. (US 6,424,863).

As discussed above, Kasuya et al., Koller et al., Marchitto et al., and Soughayer et al. render claims 1-14, and 17-34 obvious.

These references do not expressly disclose contacting the cells with an aqueous medium wherein certain molecules are released from the cells upon permeabilization.

Flock et al. teaches a method to enhance delivery of a pharmaceutical compound in a subject wherein the subject is irradiated with electromagnetic energy (claim 1). It is noted that "enhancement of drug delivery can take place with the use of osmotic or atmospheric pressure (applied, for example, in the form of a patch over the site of irradiation)" (column 4, lines 14-16). Furthermore, "a patch of distilled water in contact with the treated skin would enhance the diffusion of glucose out of the skin due to osmotic pressure".

At the time the invention was made, it would have been obvious to a person of ordinary skill in the art to have practiced the Kasuya invention of transiently permeabilize cells in an aqueous medium lacking a substance, or containing the substance at a concentration lower than the concentration of the substance within the cells, such that the substance within the cells can exit the cell. Furthermore, the substances that may exit would be the same substances that can enter the cell as disclosed by Kasuya et al., Koller et al., Marchitto et al., and Soughayer et al.

One of ordinary skill in the art would have been motivated to do this since in order to control concentrations of therapeutic compounds in the cell, such as ions, organic molecules,

inorganic molecules, colloidal particles, polysaccharides, peptides, proteins, nucleic acids, and modified nucleic acids. Thus, a holding of obviousness is required.

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Susan E. Fernandez whose telephone number is (571) 272-3444. The examiner can normally be reached on Mon-Fri 8:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mike Wityshyn can be reached on (571) 272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Susan E. Fernandez Assistant Examiner Art Unit 1651

sef

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